# Al Classical and Non-deterministic Planning: Model-based Autonomous Behavior

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School of Computing Technologies RMIT University

Julio 28 - Agosto 1 2025







# Part I

Introduction, Motivation, and Al Search

1 Introduction

2 About me & us

3 State of AI research

1 Introduction

2 About me & us

3 State of Al research



#### Mixed-language warning

The talk will be in **Spanish**, but the slides are in **English**.

Sometimes I'll switch languages mid-sentence sin darme cuenta.

#### ¿Por qué?

Soy argentino <a></a>, pero vivo hace muchos años afuera <a></a>.

Enseño en inglés, pienso en pseudo-español, y me expreso en Spanglish.

Básicamente, no hablo bien **ninguno** de los dos idiomas 😅.

Pero tranqui, jigual nos vamos a entender!

# Survival tips 🧠

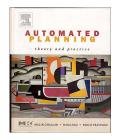
- Don't worry, the concepts are the same in any idioma.
- Ask if you get lost (en cualquiera de los dos idiomas).

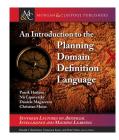
#### References

- S. Russell and P. Norvig. Artificial Intelligence: A Modern approach, Pearson. 4th, 2021.
- H. Geffner, B. Bonet. A Concise Introduction to Models and Methods for Automated Planning. Morgan & Claypool. 2013.
- Ghallab, M., Nau, D. & Traverso, P. 2004. Automated Planning: Theory and Practice.
   Elsevier.
- Patrik Haslum, Nir Lipovetzky, Daniele Magazzeni, Christian Muise: An Introduction to the Planning Domain Definition Language. Synthesis Lectures on Artificial Intelligence and Machine Learning, Morgan & Claypool Publishers 2019.
- Other: papers referenced in slides (slides available in Moodle)









# Al Classical and Non-deterministic Planning

This course will survey **Automated Planning** as a model-based Al approach to sequential decision making, from the classical formulation to the more general variant with non-determinism that relates to SE formal methods.



#### Special thanks to (and others!):

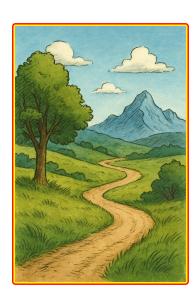


Hector Geffner @ RWTH Aachen University

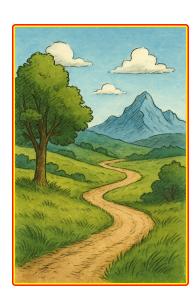


Nir Lipovetzky @ Uni. of Melbourne

- Part 1: Introduction, Motivation, and AI Search
  - ► Introduction & Motivation: State of AI research.
  - ► Al Search: Uninformed Methods.
- Part 2: Classical Planning: Languages
  - ► Informed Search and Heuristics.
  - ► The Classical Model.
  - ▶ Planning languages: STRIPS and PDDL.
- Part 3: Classical Planning: Methods and Algorithms
  - ► Complexity of Planning.
  - ► Heuristic-based methods.
  - ► SAT-based solvers for planning.
- Part 4: Non-deterministic Planning
  - ► FOND Planning & solution concepts.
  - Methods for FOND Planning.
  - Conditional Fairness.



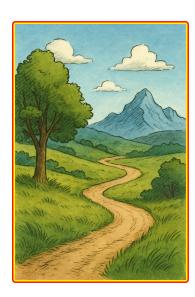
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# Plan for the rest of today

- 1 About me & us
- 2 State of Al research
- 3 Al search for sequential decision making



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# My CS journey started here!



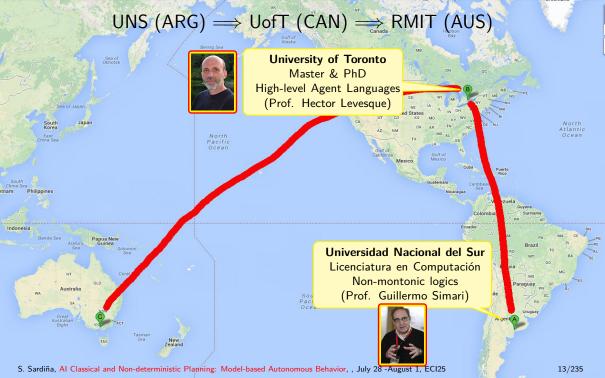


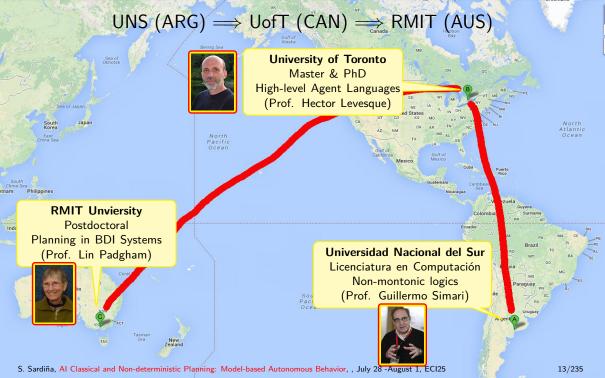












#### Gracias.... totales!





- \* Founded in 1946 1956 (seventh national university created in the country).
- \* Structured in "Departments" (not Faculties!) 30,000+ students.

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- \* Founded in 1946 1956 (seventh national university created in the country).
- \* Structured in "Departments" (not Faculties!) 30,000+ students.
  - Started Computer Science in 1993 in the Math Department CS Dept. created in 1994!
     Graduated in 1997 (Thesis on Non-monotonic Logics).
  - Tutor ("ayudate") 1994-1997 and head tutor ("JTP") 1997-1998.
  - President of CeCom Centro de Estudiantes de Computacion 1997-1998.
  - Member of Departmental Council & University Assembly.

# En defensa de la universidad pública... 🏛 🖒



# En defensa de la universidad pública... 🏛 🖒



March 8th, 2024

Engineer Mr. Nicolás Posse, Chief of the Cabinet of Ministers c.c. Dr. Daniel Salamone, President of CONICET

c.c. Members of the Board of Directors of CONICET

As members of the Computer Science international scientific community, we write to express our strong support to the Argentine scientific community in these difficult times. We are deeply concerned by the recent developments in Argentina in regards to how the prestigious Argentine national science and technology system has been brought to a standatill that undermines the country's science and technology sector due to the actions and inactions of vour ooverments.

We believe that decisions such as cutting PhD fellowships and promotions, withdrawing already committed funds to ongoing research projects, laying off administrative employees in research institutions, and freezing the investment in science in the context of high inflation levels have a short and long term devastating effect on the national scientific and technology system of Argentina.

Neglecting the role of the state in supporting science and technology is impoje and detrimentally affects the development possibilities of the country. There is extensive evidence that the state, by actively investing in science and technology when private investors found it to risky to do so, is a lead investor and key enabled in invavative sworkedge and technologies that promote occurrence growth. In fact, the state has been beind invosvite sworkedge and technologies that promote occurrence growth. In fact, the state has been beind invosvite sworkedge and technologies and promote growth in state. The state has been beind apporting beind Geogle to the many technologies packed misde an efficient to be only the state of the

Ignoring and disregarding the role of science and technology in modern society and the role of the state in promoting and fostering them is something a country cannot afford.

We ask you to listen to the Argentine scientific community's demands and actively work with their members towards preserving and improving a system that fosters the progress of the country's science and technology for the benefit of the nation.

Sincerely,

Prof. Sebastian Sardiña RMIT University, AUSTRALIA

Prof. Hector Geffner

RWTH Aachen University, GERMANY

Alexander von Humboldt Professor in Al, AAAI and EurAl fellow

Senior Lecturer Dr. Damiano Spina RMIT University, AUSTRALIA

Prof. Diego Calvanese Free University of Bozen-Bolzano, ITALY ACM Fellow, FurAl Fellow, AAIA Fellow

# RMIT University

## What does "RMIT" stand for? What about the "R"?



- Public university.
- Founded 1887 (training institute for workers).
- 80,000+ students.
- 3 campuses in Melbourne
  - 1 campus in Vietnam.
  - ▶ 1 center in Barcelona!
- Known for Art & Design, and Architecture.
- Also very strong in Engineering, Business and IT.



(click to see 1 min video)

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About RMIT / School of Science / Our research / Research areas / Computer science, IT and software engineering /

We are AI researchers who develop and extend solutions to further and enhance human capabilities, improving our quality of life through the use of artificial intelligence.

We target problems that have a direct impact, focusing on solving practical real-world problems by bringing the cutting-edge of AI to Industries including Transport, Food & Agriculture, and Advanced Manufacturing.

#### Research capabilities



Robotics & Human Collaboration

We focus on software technologies for intelligent collaboration between humans and robots, applying this to problems which are too dangerous or tedious for humans to complete themselves. We



Optimisation and Planning

We develop algorithms that find the optimal solutions and plans of action for complex problems. Our expertise includes nature-inspired and large-scale optimisation, operational research, machine

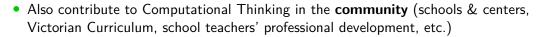


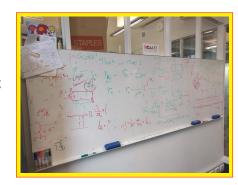
#### Autonomous Decision Systems

Many real-world problems are far too complex for a single human mind to handle, instead our capacity to solve these complex problems can only be enhanced by augmenting it with automated

# My research/work

- Did my PhD at University of Toronto, 1998-2005.
  - Supervised by Hector Levesque; Winograd schema challenge
- Started at RMIT in July 2025 as postdoc; permanent academic since 2010
- Teach "foundational" CS courses:
  - ► Maths for CS (1st year)
  - ► Theory of Computation
  - Artificial Intelligence
  - Constraint Programming / Answer Set Programming
- Research areas/topics = KR ∩ Agents ∩ Planning
  - Cognitive Robotics / Agent programming
  - ► Al Planning
  - ► Goal/intention recognition
  - ► Behavior Composition





## Who are we? Your turn!



2552 6250 @ menti.com

https://www.menti.com/al89ktgno9yf
S. Sardiña, Al Classical and Non-deterministic Planning: Model-based Autonomous Behavior, , July 28 -August 1, ECI25

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# A Bit of History: Al Programming and Problem of Generality

There was a time (60s, 70s, 80s) when AI was done mostly by **programming**:

- $\blacksquare$  pick up a challenging task and domain X (humor, story understanding, ...)
- 2 analyze/introspect/find out how task is solved
- 3 capture this reasoning in a program (usually knowledge base + rules)

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Great ideas on programming and Al programming, but methodological problem: 👎



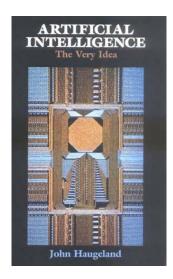
- **X** Programs written by hand were clever but **not robust or general**.
- They worked on scenarios envisioned by programmer but failed on others.
- **★** Difficult to **understand/debug** when failing: far from the actual problem/task.

#### Al Winter: the 80's

The rule+knowledge-based approach reached an **impasse** in the 80's, a time also of debates and controversies:

 Good Old Fashioned AI is 'rule application' but intelligence is not (J. Haugeland)

⚠ Many criticisms of mainstream AI partially valid then; less valid now.



### Al 90's - 2020

Formalization of AI techniques and increased use of mathematics. Recent issues of AIJ, JAIR, AAAI or IJCAI shows papers on:

- SAT and Constraints
- Search and Planning
- 3 Probabilistic Reasoning
- 4 Probabilistic Planning
- 5 Inference in First-Order Logic
- 6 Machine Learning
- Natural Language
- 8 Vision and Robotics
- Multi-Agent Systems
- \* Areas 1 to 4 often deemed about techniques, but more accurate to regard them as models and solvers.

### Motivation: Models and Solvers

$$Problem \Longrightarrow Solver \Longrightarrow Solution$$

### Example

- **Problem:** The age of John is 3 times the age of Peter. In 10 years, it will be only 2 times. How old are John and Peter?
- Expressed as: J = 3P ; J + 10 = 2(P + 10)
- Solver: Gauss-Jordan (Variable Elimination)
- **Solution:** P = 10 ; J = 30

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- Linear equations model is too simple; Al models more challenging.
  - **Models** good not just for **solving** but also for **understanding** problems.

### From Programs to Solvers and Learners

- Generality problem increasingly led to methodological shift in 80s-90s:
  - ▶ from **programs** for **ill-defined problems** ...
  - be to algorithms for well-defined mathematical tasks.
- New programs, solvers and learners, have a crisp functionality, and both can be seen as computing functions that map inputs into outputs

$$\textit{Input } x \Longrightarrow \boxed{\texttt{FUNCTION } f} \implies \textit{Output } f(x)$$

• The algorithms are general: not tied to particular examples but to classes of **models** and **tasks** expressed in **mathematical form**.

# Solvers (Reasoners)

Input 
$$x \Longrightarrow \boxed{\text{FUNCTION } f} \Longrightarrow \textit{Output } f(x)$$

- **Solvers** derive output f(x) for **given input** x from **model**:
  - **SAT:** x is a formula in CNF, f(x) = 1 if x satisfiable, else f(x) = 0.
  - ▶ Classical planner: x is a planning problem P, and f(x) is plan that solves P. •
  - **Bayesian net:** x is a query over Bayes Net and f(x) is the answer.
  - ► Constraint satisfaction, Markov decision processes, POMDPs, ...
- ✓ Generality: Solvers not tailored to particular examples.
- ✓ Expressivity: Some models very expressive; e.g., POMDPs.
- **X** Challenges:
  - ightharpoonup Scalability; computation of f(x) is NP-hard (or more!).
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- **\* Learners are solvers too:**  $\operatorname{argmin}_w \sum_{x \in D} L(x, f_w(x))$  (Differentiable programming)

#### Learners

$$\textit{Input } x \Longrightarrow \quad \boxed{\texttt{FUNCTION} \ f_{\theta}} \implies \textit{Output} \ f_{\theta}(x)$$

• In deep learning (DL) and deep reinforcement learning (DRL), training results (the "model") in function  $f_{\theta}(\cdot)$ .

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- $f_{\theta}(\cdot)$  given by structure of **neural network** and adjustable parameters  $\theta$ .
  - ▶ In DL, **input** x may be an image and **output**  $f_{\theta}(x)$  a classification label.
  - ▶ In DRL, **input** x may be state of game, and **output**  $f_{\theta}(x)$ , value of state.
- Parameters  $\theta$  learned by **minimizing error function** by stochastic gradient descent.
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  - ▶ In DRL, error depends on value of states and successor states.

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  - ▶ In DL, error depends on inputs and target outputs in training set.
  - ▶ In DRL, error depends on value of states and successor states.
- ✓ A true revolution in Al still unfolding...
- **★ Limitations:** transparency, amounts of data, generalization, understanding

#### Learners vs Solvers

$$\textit{Input } x \Longrightarrow \boxed{\texttt{FUNCTION } f} \implies \textit{Output } f(x)$$

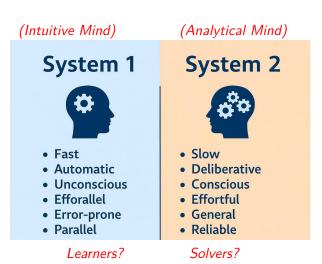
- Learners require experience over related problems x but then fast!
  - $\triangleright$  They compute function f from training, then apply it.
- Solvers deal with new problems x but need models, and need to "think" hard.
  - They compute f(x) for each input x from scratch; out of the box.

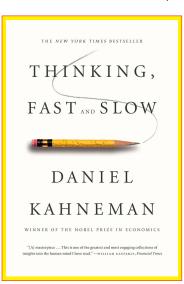


## Learners and Solvers: System 1 and System 2?

Dual process accounts of the human mind assume two processes

(D. Kahneman: Thinking, Fast and Slow, 2011; K. Stanovich: The Robot's Rebellion, 2005)





#### SAT and CSPs

• SAT: determine if there is a truth assignment that satisfies a set of clauses:

$$(x \lor \neg y \lor \neg z) \land (\neg x \lor y) \land (y \lor z) \land \dots$$

- Problem is NP-Complete, which in practice means worst-case behavior of SAT algorithms is **exponential** in number of variables  $(2^{100} = 10^{30})$ .
- Yet current SAT solvers manage to solve problems with thousands of variables and clauses, and used widely (circuit design, verification, planning, etc).
- Constraint Satisfaction Problems (CSPs) generalize SAT by accommodating non-boolean variables as well, and constraints that are not clauses.
- Key is **efficient (poly-time) inference** in every node of search tree: **unit resolution, conflict-based learning**, ...

## Classical Planning Model

- Planning is the model-based approach to autonomous behavior.
- A system can be in one of many **states**.
- States assign values to a set of variables.
- Actions change the values of certain variables.
- Basic task: find action sequence to drive initial state into goal state:

Model World 
$$x \Longrightarrow Planner f \Longrightarrow Action Sequence f(x)$$

- **Complexity**: NP-hard+; i.e., exponential in number of vars in **worst case**.
- Planner is generic: should work on any domain no matter what variables are about.

## Why do we need such Al Planning?

#### Settings where greater autonomy required:

- Space Exploration: (RAX) first artificial intelligence control system to control a spacecraft without human supervision (1998)
- Business Process Management
- First Person Shooters & Games: classical planners playing Atari Games
- Interactive Storytelling
- Network Security
- Logistics/Transportation/Manufacturing: Multi-model Transportation, forest fire fighting, PARC printer
- Wherehouse Automation: Multi-Agent Path Finding, Post China, Amazon
- Automation of Industrial Operations (Schlumberger)
- Self Driving Cars ...

\* Find out more at ICAPS in Action (right panel)



# Summary: Al and Automated Problem Solving

- A research agenda emerged in last 20 years: solvers for a range of intractable models.
- **Solvers** unlike other programs are **general** as they do not target individual problems but families of problems (**models**).

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- Sheer size of problem shouldn't be impediment to meaningful solution.
- Structure of given problem must recognized and exploited.

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- A research agenda emerged in last 20 years: solvers for a range of intractable models.
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- The challenge is **computational**: how to scale up.
- Sheer **size of problem** shouldn't be impediment to meaningful solution.
- Structure of given problem must recognized and exploited.
- Lots of room for ideas but methodology empirical.
- Consistent progress:
  - effective inference methods (derivation of h, conflict-learning)
  - islands of tractability (treewidth methods and relaxations)
  - transformations (compiling away incomplete info, extended goals, ...)

#### Course Aim

- Not a full-fledge course on Al Planning; too much for us...
  - ► Full semester courses (12+ weeks) and still not complete
- Focus is on **coherent research thread** that covers a lot of ground:
  - Crisp and simple ideas and formulations for stating, understanding, and addressing key problems.
- Many open problems; many opportunities for research

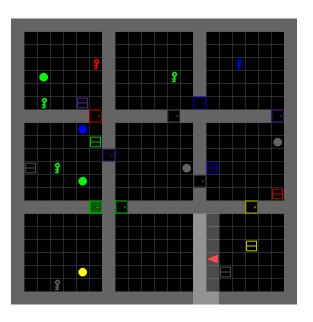
## System 1 and 2 Intelligence: A Key Challenge in Al

- General two-way integration of System 1 and System 2 inference in AI systems:
  - ▶ Learners and solvers should inform, complement, and enhance each other.
- Yoshua Bengio's challenges reflected in title of his IJCAI 2021 talk:
  - System 2 Deep Learning: Higher-level cognition, agency, out-of-distribution generalization and causality.
- Yann LeCun's three challenges, AAAI 2020:
  - ► Al must learn to represent the world.
  - ▶ Al must think and plan in ways compatible with gradient-based learning.
  - ► Al must learn hierarchical representation of action plans.





### Research Challenge: Minigrid



- Task: Pick up grey box behind you, then go to grey key and open door
- Agent is red triangle at bottom right.
   Light-grey is field of view.
- Learn controller that accepts goals and observations, and outputs actions.
- How to get such a controller? Action model and goal language not known, but can do trial-and-error.

## Methodology: Bottom-Up vs. Top-Down Learning

- Deep (reinforcement) learning methods struggle in these problems, but manage to generate meaningful behavior after millions of trials (despite so little prior knowledge).
- Yet **methodology** largely **ad-hoc:** from intuitions to **architectures** and **experiments** using baselines; performance improvements but **no crisp understanding**.

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#### Alternative: Top-Down

Alternative: complementary, top-down approach asks crisp questions like:

- What are the domain-independent languages for representing dynamics?
- What the languages for representing general reactive policies, subgoals?
- What are good **solvers** for those representations?
- How can representations over such languages be learned?

## Al and Social Impact

- System 2 not only necessary for AI systems; essential for people and societies.
- Al far from human-level intelligence, yet it can be used for good or ill.
- Ethical committees and Al principles good but not sufficient.
- ullet Markets and politics play our System  $oldsymbol{1}$ , focused on the bottom line.  $oldsymbol{\&}$



## Al and Social Impact

- System 2 not only necessary for Al systems; essential for people and societies.
- Al far from human-level intelligence, yet it can be used for **good** or **ill**.
- **Ethical committees** and **Al principles** good but not sufficient.
- Markets and politics play our System 1, focused on the bottom line.



- If we want good AI, we need a good and decent society, that make use of our System 2 and cares about truth, reason, knowledge, and the common good.
- Take courses on Social and technological change...



# Part 1: Introduction, Motivation, and Al Search

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4 Al Search

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### Al's favourite trick

